

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,534	04/25/2001	William Roberts	0717.2010-000

## FORMALITIES LETTER

Thomas O. Hoover, Esq.  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
Two Militia Drive  
Lexington, MA 02421-4799



\*OC000000006212207\*

Date Mailed: 06/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1228.
  - \$828 for 46 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2068.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

08/27/2001 MBERHE 00000061 09843534

01 FC:101	710.00	OP
02 FC:105	130.00	OP
03 FC:102	400.00	OP
04 FC:103	828.00	OP



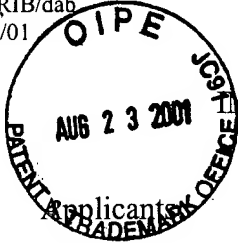
*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09843534 0822301  
"4554860"



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	William Roberts and Matthew Zavracky	
Application No.:	09/843,534	Group: 2871
Filed:	April 25, 2001	Examiner: Not Assigned
For:	POLARIZER REMOVAL IN A MICRODISPLAY SYSTEM	

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on

Date \_\_\_\_\_

Signature

DONNA BARTOLONE

Typed or printed name of person signing certificate

## REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated June 21, 2001, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

**[ X ] EXECUTED DECLARATION/POWER OF ATTORNEY**

*(Separate transmittal letter and postcard not required)*

[ X ] **FILING FEE** - with Fee Transmittal for Patent Applications in duplicate.

*(Separate transmittal letter and postcard not required)*

[ X ] **SURCHARGE** - surcharge fee of \$130.00.

*(Separate transmittal letter and postcard not required)*

[ ] **SEQUENCE LISTING** - Filed concurrently and is attached.


*(Separate transmittal letter and postcard required)*

☒ **TRANSMITTAL OF SUBSTITUTE DRAWINGS**☐ 11 Sheets of substitute drawings consisting of Figs. 1 - 18 are enclosed.*(Separate transmittal letter and postcard not required)*☐ **PETITION FOR EXTENSION OF TIME**☐ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated [ ] for [ ] month(s) from [ ] to [ ].  
The appropriate fee of \$[ ] is included in the enclosed check.☐ A [ ] month extension of time to respond to the Notice to File Missing Parts dated [ ] was filed on [ ] with payment of a \$[ ] fee.☐ Applicant hereby petitions for an additional [ ] month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$[ ] is included in the enclosed check.*(Separate Petition for Extension of Time and postcard not required)*☐ **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached.*(Separate transmittal letter and postcard required)*☐ **STATEMENT CLAIMING SMALL ENTITY STATUS**☐ Was filed on [ ].☐ Is enclosed herewith.*(Separate transmittal letter and postcard not required)*☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[ ] which were paid on [ ] to be deposited in Deposit Account No. 08-0380.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$2068.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

By   
Raymond I. Bruttomesso, Jr.  
Registration No.: 33,840  
Tel.: (781) 861-6240  
Fax: (781) 861-9540

Lexington, Massachusetts 02421-4799

Date: 21 August 2001

FOI b7 - DATED 08-22-01

O I P E

HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

AUG 23 2001

**FREE TRANSMITTAL FOR  
PATENT APPLICATIONS**

Attorney Docket Number

0717.2010-000

Application Number

09/843,534

First Named Inventor

William Roberts

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	66 - 20* =	46	x \$ 18 =	\$ 828
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	8 - 3** =	5	x \$ 80 =	\$ 400
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 1938
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 1938
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 2068

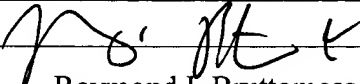
## 1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$2068.00. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature		Date	21 August 2001
Submitted by Typed or Printed Name	Raymond I. Bruttomesso, Jr.	Reg. Number	33,840